

11-26-01

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J1048 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Attorney Docket No. S004-3509(CON)

Mailing Date: NOVEMBER 21, 2001

Express Mail No. ET 256 765 549 US

ASSISTANT COMMISSIONER FOR PATENTS  
Box PATENT APPLICATION  
WASHINGTON, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a  
Nonprovisional Utility Patent:

JC564 U.S. PTO  
09/997480  
11/21/01

\_\_\_\_ New Application; or a X Continuation, \_\_\_\_ Division,  
\_\_\_\_ Continuation-in-Part (CIP) Application of prior US  
application No. 09/177,455, filed on June 15, 2000; of

Inventor: Akihiro IINO  
Masao KASUGA  
Makoto SUZUKI  
Kenji SUZUKI

For(Title): ULTRASONIC MOTOR AND ELECTRONIC APPARATUS  
EQUIPPED WITH ULTRASONIC MOTOR

This transmittal letter has 3 pages.

Enclosed are:

- X Enclosed are 15 sheets of drawings, along with 41 pages of  
specification, claims and abstract.
- X Oath or Declaration Combined with Power of Attorney (4 pages)  
\_\_\_\_ Newly Executed (original or copy)  
X Copy from a prior application (if this is a  
Continuation/Division with no new matter)  
\_\_\_\_ Signed statement deleting named inventor(s) in prior  
application if this is a Continuation/Division (See 37 CFR  
1.63(d) and 1.33(b)).
- \_\_\_\_ A certified copy of a \_\_\_\_\_ (non-US) application  
No. \_\_\_\_\_, having a filing date of \_\_\_\_\_.
- \_\_\_\_ An Assignment Transmittal Letter and Assignment of the invention.

A verified statement to establish small entity status under 35 CFR 1.9 and 1.27

       is enclosed

       was filed in prior application and such status is still proper and desired (37 CFR 1.28(a)).

  X   An information Disclosure Statement, with Form PTO-1449.

  X   Preliminary Amendment together with abstract of the disclosure, two sheets of informal drawings, and version with markings to show changes made.

  X   Return Receipt Postcard.

Instructions:

  X   Incorporation by Reference (for Continuation/Division application). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

  X   Since the present application is based on a prior U.S. application, please amend the specification by adding the following sentence before the first sentence of the specification:

"The present application is based on prior US application Serial No. 09/177,455, filed on June 15, 2000, which is hereby incorporated by reference, and priority thereto for common subject matter is hereby claimed."

  X   Priority of Application No. 9-291343 filed on October 23, 1997 in Japan is claimed under 35 U.S.C. §119. The certified copy

         X   have not been filed.

       is enclosed.

       was filed in parent application Serial No. 08/964,589.

  X   The prior application is assigned of record to SEIKO INSTRUMENTS INC.

  X   Cancel in this application pending claims 2-7 of the prior application before calculating the filing fee.

X The filing fee is calculated as follows:

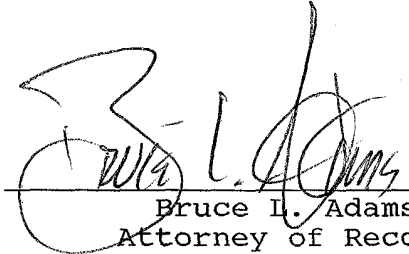
CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT

	Number Filed	Number Extra	Fee for Small Entity	Fee for other than Small Entity
Fee			Fee	Fee
Basic fee	1	0	\$	\$740
Independent Claims	1	0	\$	
Total			\$	Total \$740

X Check in the amount of \$740.00 for the filing fee as calculated above is enclosed.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-0268. A duplicate copy of this sheet is enclosed.

NOVEMBER 21, 2001

  
Bruce L. Adams  
Attorney of Record

Please forward all correspondences to:

Bruce L. Adams  
Adams & Wilks  
50 Broadway, 31st Floor  
New York, NY 10004  
(212) 809-3700

Re: New patent application of Akihiro IINO

for ULTRASONIC MOTOR AND ELECTRONIC APPARATUS EQUIPPED  
WITH ULTRASONIC MOTOR

comprising transmittal letter, title, specification, claims and abstract (pgs.1-41), declaration and power of attorney, fifteen sheets of formal drawings containing thereon Figs. 1-16, preliminary amendment, information disclosure statement under Rules 97 and 98, Form PTO-1449 and references cited therein, express mail certification and check in the amount \$740.00

Attorney's Docket No: S004-3509(CON)

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

Express Mail Tracking Number: ET 256 765 549 US

Date of Mailing: NOVEMBER 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to ASSISTANT COMMISSIONER FOR PATENTS, Box PATENT APPLICATION, Washington, DC 20231.

  
MICHAEL RUAS

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

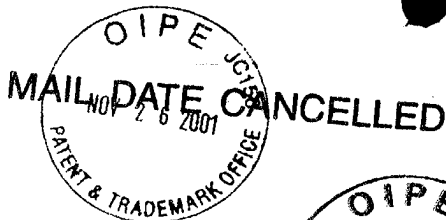
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01 FC:101 740.00 DP  
~~02 FC:104 280.00 CH~~

~~Void after 12/04/2001 AMONDAF1~~  
~~12/04/2001 AMONDAF1 00000033 010268 09997480~~  
~~02 FC:104 280.00 CR~~

PTO-1556  
(5/87)

\*U.S. GPO: 2000-468-987/39595



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November 23, 2001

Robert Clarke  
Legal Advisor  
Office of Patent Legal Administration  
U.S. Patent and Trademark Office  
Washington, DC 20231

*Receipt date  
Granted is 11/21/01  
E. Jones from OPLA*

Re: Returned PTO Express Mail Envelope--  
Patent Application Filing Dates: November 21, 2001

Dear Mr. Clarke:

In accordance with your telephone instructions of this date, we are transmitting herewith by First Class Mail, postage prepaid, an Express Mail envelope (unopened) which was duly delivered to the U.S. Postal Service on November 21, 2001 and which was returned undelivered to us today accompanied by the handwritten notation "EMS temporarily suspended."

The Express Mail envelope contains new patent applications which require a U.S. filing date of November 21, 2001.

Should additional information be required, please contact the undersigned.

Very truly yours,

Bruce L. Adams  
Reg. No. 25,386

BLA:mr